

Parikh Automata and Friends

*On the Expressiveness of Parikh Automata and Related
Models (NCMA11)
Bounded Parikh Automata (WORDS11)*

M. Cadilhac¹, A. Finkel², and P. McKenzie¹

¹:
Université 
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²: 
C A C H A N

Tübingen, September 22th, 2011

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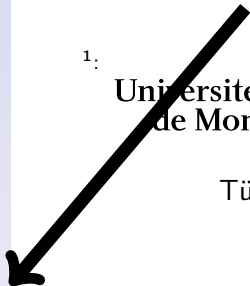
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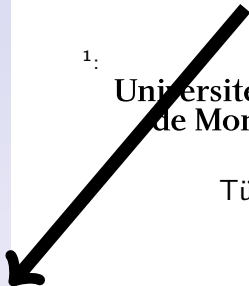
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Natural models of automata allow for a study of:

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- ▶ Word logics (variants of MSO)
- ▶ Algebra (pseudovarieties of monoids associated with language varieties)

Outline

Parikh Automata

Affine Parikh Automata

Letter Parikh Automata

Bounded languages of PA

Corollaries and Further Work

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Affine Parikh Automata

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Corollaries and Further Work

Parikh automata

Preliminary: semilinear sets

Definitions

- ▶ *Linear* set: of the form $E = \{ \vec{c}_0 + \sum_{i=1}^m \vec{c}_i \cdot k_i \mid k_i \in \mathbb{N} \}$

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Why are they natural? One of many reasons:

Theorem ([Parikh, 1966])

With $\Sigma = \{a_1, \dots, a_n\}$ and $w \in \Sigma^*$, let
 $\text{Parikh}(w) = (|w|_{a_1}, \dots, |w|_{a_n}) \in \mathbb{N}^n$ be the Parikh image of w .

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 L context-free \Rightarrow $\text{Parikh}(L)$ semilinear

Start with an example

Parikh automaton: semilinear constraint on Parikh(a path)

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Example

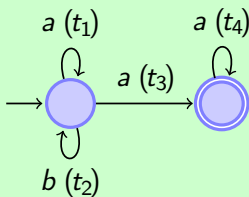
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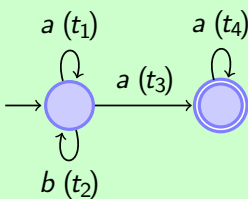


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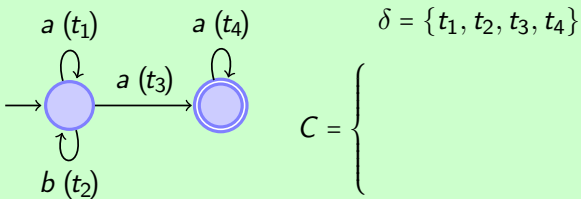
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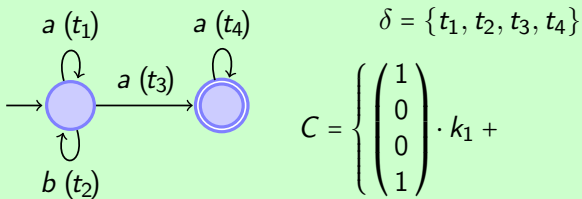


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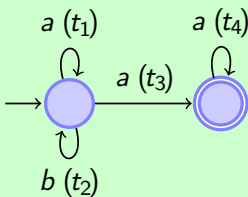


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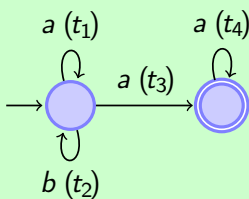
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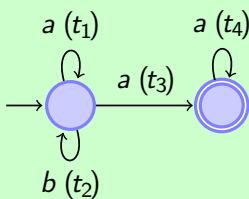
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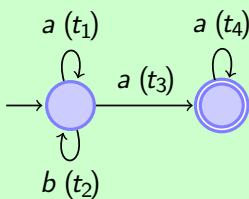
Word: *ababaaa*

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Parikh(traced run):

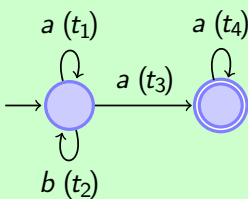
 $\pi =$

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Word: *aabaaaa*



Parikh(traced run): (0, 0, 0, 0)

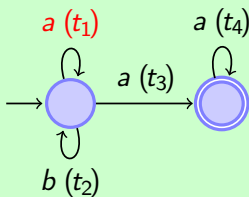
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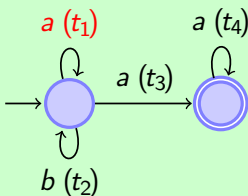
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▲Parikh(traced run): (1, 0, 0, 0)
 $\pi = t_1$

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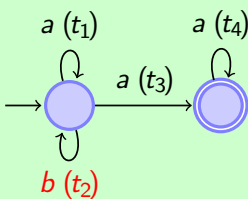
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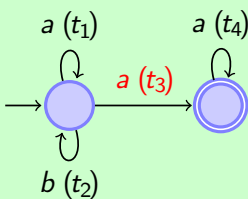
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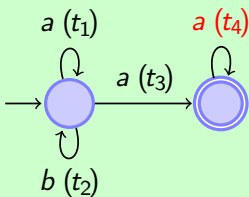
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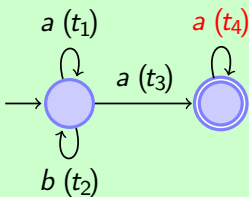
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Word: *aaba[▲]aaa*

Parikh(traced run): (2, 1, 1, 2)

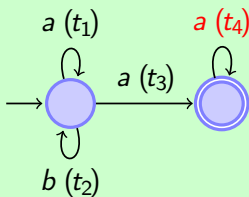
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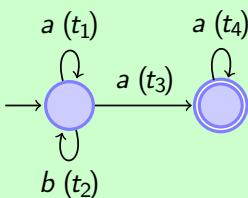
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Parikh automata

The formal definition

Definition ([Klaedtke and Rueß, 2003])

- ▶ *Parikh automaton* (PA): a pair (A, C) with:
 - ▶ A a finite automaton of transition set δ
 - ▶ $C \subseteq \mathbb{N}^{|\delta|}$ semilinear

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Parikh automata

Definition: Parikh automata

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

Why is PA a relevant model?

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- ▶ Equivalent to extended automata over $(\mathbb{Z}^k, +, 0)$ of [Mitrana and Stiebe, 2001]

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Parikh automata

Definition: Parikh automata

Why is PA a relevant model?

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Parikh automata

Expressiveness: main (simple) tool

Lemma

For $L \in PA$, $\exists p, l \in \mathbb{N}^+$ s.t. all $w \in L \cap \Sigma^{>l}$ can be written $uvxvz$ with:

Parikh automata

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Lemma

For $L \in PA$, $\exists p, l \in \mathbb{N}^+$ s.t. all $w \in L \cap \Sigma^{>l}$ can be written $uvxvz$ with:

- ▶ $0 < |v| \geq p$
- ▶ $p < |x|$
- ▶ $|uvxv| < l$
- ▶ $uv^2xz, uxv^2z \in L$

▶▶ Ex.: $COPY \notin PA$ $(a^p b)^l \notin (a^p b)^l$

Parikh automata

Expressiveness: main (simple) tool

Lemma

For $L \in \text{DetPA}$, $\exists p, l \in \mathbb{N}^+$ s.t. all $w \in \Sigma^{>l}$ can be written $uvxvz$ with:

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▶▶ Ex.: $\{w \cdot a^{|w|+1}\} \notin \text{DetPA}$ $(a^p b)^l$

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

- ▶ Decidability results:

	$= \emptyset$	$= \Sigma^*$	is finite	\subseteq	is regular
DetPA	D	D	D	D	?
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- Closure properties:

	\cup	\cap	\cdot	\rightarrow	h	h^{-1}	c	$*$
DetPA	Y	Y	N	Y	N	Y	Y	N
PA	Y	Y	Y	N	Y	Y	Y	N

Parikh automata

PA and RBCM

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Definitions

- ▶ Counter machine: two-way automaton with 0-test counters

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Parikh automata

PA and RBCM

Definitions

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RBCM

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Parikh automata

PA and RBCM

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Theorem

- ▶ $PA = RBCM$
- ▶ $DetPA \subseteq DetRBCM$
- ▶ $NSUM = \{a^n \spadesuit b^{m_1} \# \dots \# b^{m_k} \clubsuit c^{m_1 + \dots + m_n}\} \in DetRBCM \setminus DetPA$

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RBCM

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Outline

Parikh Automata

Affine Parikh Automata

Letter Parikh Automata

Bounded languages of PA

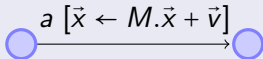
Corollaries and Further Work

Affine Parikh automata

A quick definition

Definition

- ▶ *Affine Parikh automaton* given by:
 - ▶ A finite automaton
 - ▶ A labelling of the transitions by affine functions (on \mathbb{Q} or \mathbb{N})
 - ▶ A semilinear set (for \mathbb{Q} , FO)

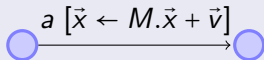


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- ▶ Its language: accepted words which take $\vec{0}$ to some \vec{x} in the semilinear set

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(Det)APA

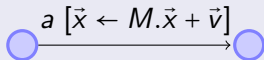
PA with func
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Cadilhac, Finkel
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Affine Parikh automata

Expressiveness

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Open:

- ▶ Dyck $\notin \text{APA}$
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- ▶ Positive properties: (Det)APA closed under \cap , \cup , h^{-1} ; APA under concat, DetAPA under complement

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But:

Lemma

L recognizable $\rightarrow L$ morphic image of some $L' \in \text{DetAPA}$

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Affine Parikh automata

Decidability and closure properties

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L recognizable $\rightarrow L$ morphic image of some $L' \in \text{DetAPA}$

- ▶ (Det)APA not closed under morphism
- ▶ Emptiness, finiteness undecidable

Cadilhac, Finkel
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Parikh Automata

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Letter Parikh Automata

Bounded languages of PA

Corollaries and Further Work

Letter Parikh automata

A simple restriction

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Definition

PA without distinguishing transitions with same label

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Letter Parikh automata

A simple restriction

Definition

PA without distinguishing transitions with same label

With $R \subseteq \Sigma^*$ regular, $C \subseteq \mathbb{N}^{|\Sigma|}$ semilinear,

$$R \upharpoonright_C = R \cap \text{Parikh}^{-1}(C)$$

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LPA

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Poor expressiveness:

Lemma

$$R \upharpoonright_C \cap E \text{ nonregular} \rightarrow \text{Parikh}(E) \notin \text{Parikh}(R \upharpoonright_C)$$

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Leads to:

Theorem

Letter PA not closed under \cup , complement, squaring, nonerasing morphism

Letter Parikh automata

A link with PA

Cadilhac, Finkel
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LPA

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But $PA = h(LPA)$ helps in proving Parikh-boundedness:

Letter Parikh automata

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But $PA = h(LPA)$ helps in proving Parikh-boundedness:

Lemma

$$L \in PA \rightarrow \exists L' \subseteq L \text{ bounded with } \text{Parikh}(L) = \text{Parikh}(L')$$

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Parikh Automata

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Bounded languages of PA

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LPA

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Bounded languages of PA

Definition: bounded languages

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- ▶ *L bounded*: $L \subseteq w_1^* \cdots w_n^*$ for some words w_i 's

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- ▶ Define $\text{Iter}_{\vec{w}}(L) = \{(i_1, \dots, i_n) \mid w_1^{i_1} \cdots w_n^{i_n} \in L\} \subseteq \mathbb{N}^n$

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LPA

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Bounded

$$L \subseteq w_1^* \cdots w_n^*$$

Iter. set

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BSL

Bounded \wedge SL
iter. set

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- ▶ $\{a^i b^{2i}\} \in \text{BSL}$

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constraining
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RBCM

Rev. bounded
0-test counters

(Det)APA

PA with func

$$a [\vec{x} \leftarrow M \cdot \vec{x} + \vec{v}]$$



LPA

automaton
constraining
#letters

Bounded

$$L \subseteq w_1^* \cdots w_n^*$$

Iter. set

$$\{\vec{i} \mid \vec{w}^{\vec{i}} \in L\}$$

BSL

Bounded \wedge SL
iter. set

Definition

- ▶ L bounded: $L \subseteq w_1^* \cdots w_n^*$ for some words w_i 's
- ▶ Define $\text{Iter}_{\vec{w}}(L) = \{(i_1, \dots, i_n) \mid w_1^{i_1} \cdots w_n^{i_n} \in L\} \subseteq \mathbb{N}^n$
- ▶ $\text{BSL} = \{L \subseteq w_1^* \cdots w_n^* \mid \text{Iter}_{\vec{w}}(L) \text{ semilinear}\}$

- ▶ $\{a^i b^{2i}\} \in \text{BSL}$
- ▶ Σ^* not bounded

Bounded languages of PA

Definition: bounded languages

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
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▶▶ BSL intensively studied, e.g., Ginsburg & Spanier, 60's

Bounded languages of PA

... are determinizable

Cadilhac, Finkel
& McKenzie

SL set

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(Det)PA

automaton
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Bounded \wedge SL
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Theorem

Parikh automata and their deterministic variant recognize the same bounded languages: those with a semilin iteration set

Bounded languages of PA

... are determinizable

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$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

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#transitions

RBCM

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BSL

Bounded \wedge SL
iter. set

Theorem

Parikh automata and their deterministic variant recognize the same bounded languages: those with a semilin iteration set

Theorem, restated:

Theorem

$$PA \cap \text{BOUNDED} \subseteq \text{BSL} \subseteq \text{DetPA} \cap \text{BOUNDED}$$

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

RBCM

Rev. bounded
0-test counters

(Det)APA

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LPA

automaton
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#letters

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BSL

Bounded \wedge SL
iter. set

Bounded languages of PA

... are determinizable

Theorem

Parikh automata and their deterministic variant recognize the same bounded languages: those with a semilinear iteration set

Theorem, restated:

Theorem

$$PA \cap \text{BOUNDED} \subseteq BSL \subseteq \text{DetPA} \cap \text{BOUNDED}$$



*Parikh (any L in PA) semilinear
PA closed under h^{-1} , \cap*

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

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*Parikh (any L in PA) semilinear
PA closed under h^{-1} , \cap*



Rest of this section

Cadilhac, Finkel
& McKenzie

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
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#transitions

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BSL

Bounded \wedge SL
iter. set

Parikh Automata

Affine Parikh Automata

Letter Parikh Automata

Bounded languages of PA

$BSL \subseteq \mathbb{N}\text{-DetAPA}$ with some property X

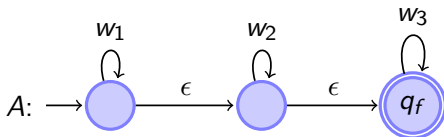
$\mathbb{N}\text{-DetAPA}$ with this property $\subseteq \text{DetPA}$

Corollaries

Corollaries and Further Work

BSL \subseteq \mathbb{N} -DetAPA with some property X Cadilhac, Finkel
& McKenzie

- Let $L \subseteq w_1^* w_2^* w_3^* \in$ BSL. Then a PA describes L :

and $C = \text{Iter}_{\bar{w}}(L)$

SL set

 $\bar{c}_0 + \sum_i \bar{c}_i \cdot k_i$

(Det)PA

automaton
constraining
#transitions

RBCM

Rev. bounded
0-test counters

(Det)APA

PA with func

 $a [\bar{x} \leftarrow M \cdot \bar{x} + \bar{v}]$ 

LPA

automaton
constraining
#letters

Bounded

 $L \subseteq w_1^* \dots w_n^*$

Iter. set

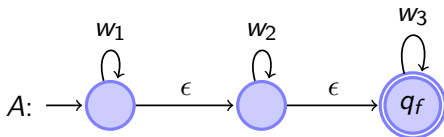
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BSL

Bounded \wedge SL
iter. set

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& McKenzie

- Let $L \subseteq w_1^* w_2^* w_3^* \in$ BSL. Then a PA describes L :

and $C = \text{Iter}_{\bar{w}}(L)$

- Let π_1, π_2 two accepting paths with same label:
 $\text{Parikh}(\pi_1) \in C \Leftrightarrow \text{Parikh}(\pi_2) \in C$

SL set

 $\bar{c}_0 + \sum_i \bar{c}_i \cdot k_i$

(Det)PA

automaton
constraining
#transitions

RBCM

Rev. bounded
0-test counters

(Det)APA

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 $a [\bar{x} \leftarrow M \cdot \bar{x} + \bar{v}]$ 

LPA

automaton
constraining
#letters

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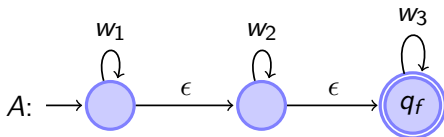
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BSL

Bounded \wedge SL
iter. set

BSL \subseteq N-DetAPA with some property XCadilhac, Finkel
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- Let π_1, π_2 two accepting paths with same label:

$$\text{Parikh}(\pi_1) \in C \Leftrightarrow \text{Parikh}(\pi_2) \in C$$

- In $\text{SubsetDeterminize}(A)$, when reaching a final state $\{q_f, \dots\}$, we need only recall the Parikh image of *one* possible run to q_f

SL set

$$\bar{c}_0 + \sum_i \bar{c}_i \cdot k_i$$

(Det)PA

automaton
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RBCM

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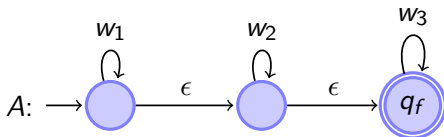
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BSL

Bounded \wedge SL
iter. set

- ▶ Let $L \subseteq w_1^* w_2^* w_3^* \in$ BSL. Then a PA describes L :

and $C = \text{Iter}_{\vec{w}}(L)$

- ▶ Let π_1, π_2 two accepting paths with same label:

$$\text{Parikh}(\pi_1) \in C \Leftrightarrow \text{Parikh}(\pi_2) \in C$$

- ▶ In SubsetDetermine(A), when reaching a final state $\{q_f, \dots\}$, we need only recall the Parikh image of *one* possible run to q_f
- ▶ In SubsetDetermine(A), when reaching some state $\{q_1, \dots, q_k\}$, we need only recall the Parikh image of *one* possible run to each q_i

Cadilhac, Finkel
& McKenzie

Thus we do the following:

1. Let (A, C) be the PA for $L \in \text{BSL}$

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
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RBCM

Rev. bounded
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(Det)APA

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LPA

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BSL

Bounded \wedge SL
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Thus we do the following:

1. Let (A, C) be the PA for $L \in \text{BSL}$
2. **Determinize** A by the subset construction

SL set

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(Det)PA

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Bounded \wedge SL
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Cadilhac, Finkel
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BSL

Bounded \wedge SL
iter. set

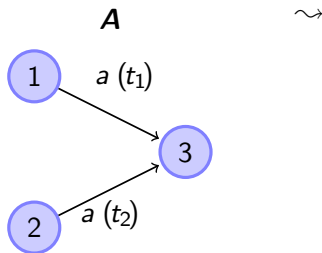
Thus we do the following:

1. Let (A, C) be the PA for $L \in \text{BSL}$
2. **Determinize** A by the subset construction
3. Associate functions to compute the Parikh image:

BSL \subseteq \mathbb{N} -DetAPA with some property X

Thus we do the following:

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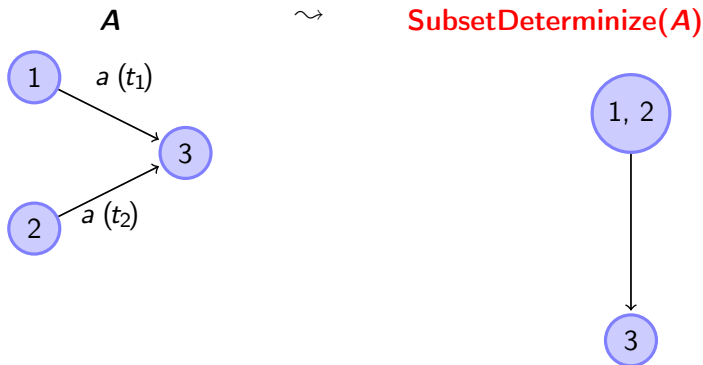
BSL

Bounded \wedge SL
iter. set

BSL \subseteq N-DetAPA with some property X

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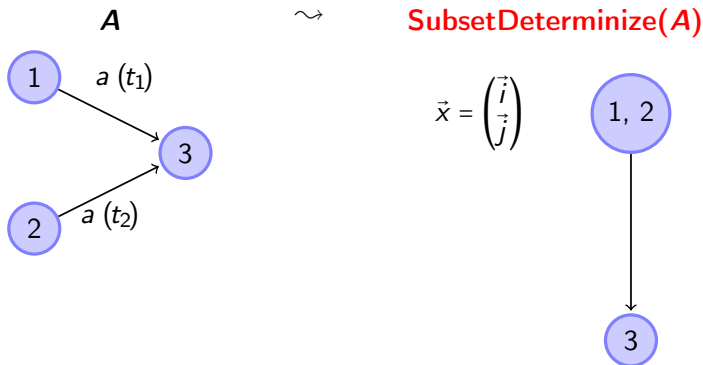
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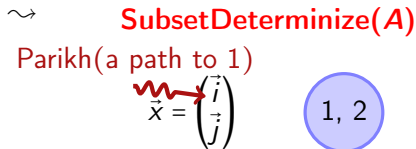
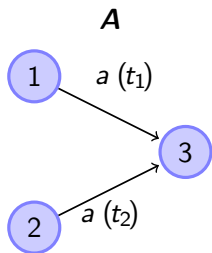
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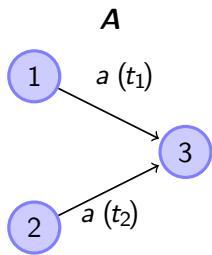
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\rightsquigarrow **SubsetDeterminize(A)**

Parikh(a path to 1)

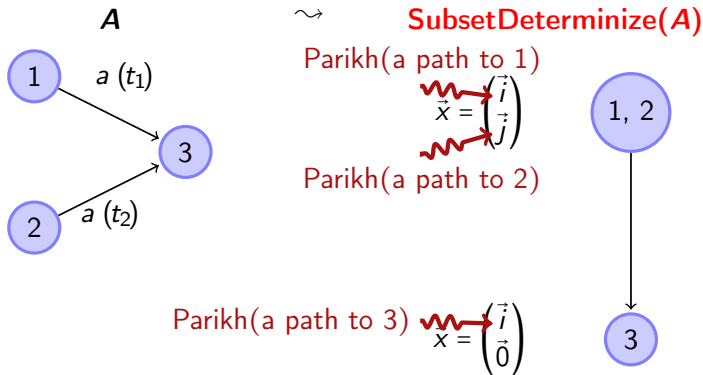
$$\vec{x} = \begin{pmatrix} i \\ j \end{pmatrix}$$

Parikh(a path to 2)



Thus we do the following:

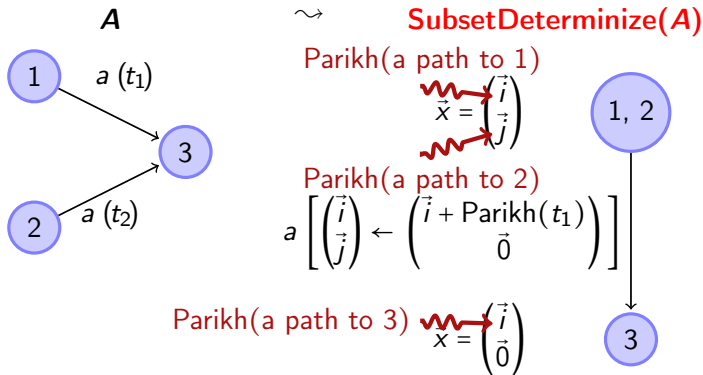
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3. Associate functions to compute the Parikh image:



BSL \subseteq N-DetAPA with some property X

Thus we do the following:

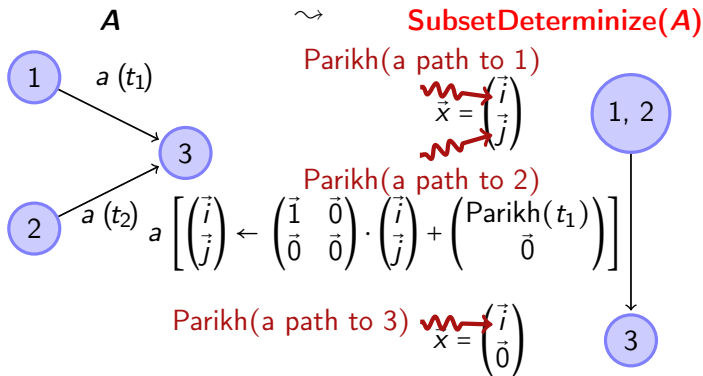
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BSL \subseteq N-DetAPA with some property X

Thus we do the following:

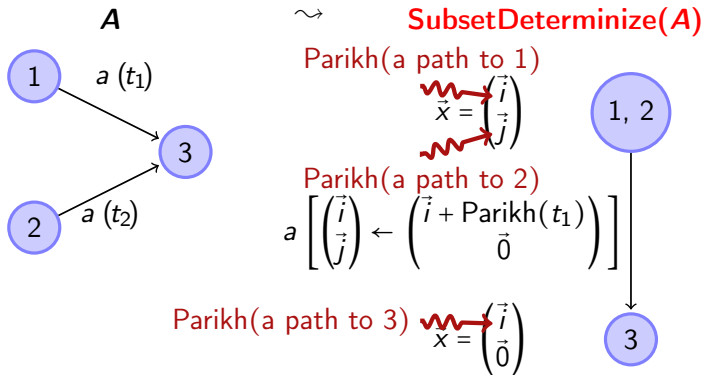
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2. **Determinize** A by the subset construction
3. Associate functions to compute the Parikh image:



BSL \subseteq N-DetAPA with some property X

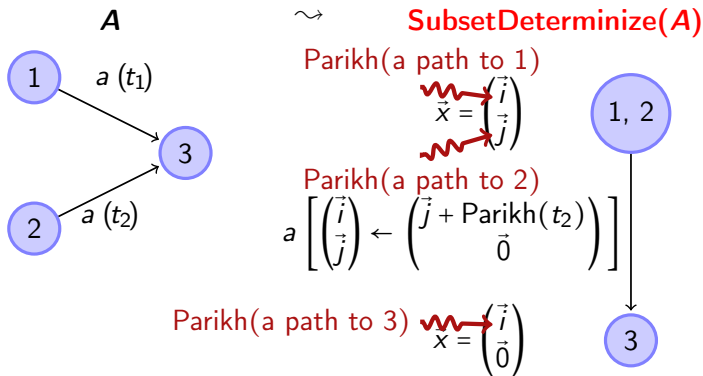
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Cadilhac, Finkel
& McKenzie

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

RBCM

Rev. bounded
0-test counters

(Det)APA

PA with func
 $a [\vec{x} \leftarrow M \cdot \vec{x} + \vec{v}]$



LPA

automaton
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#letters

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BSL

Bounded \wedge SL
iter. set

Parikh Automata

Affine Parikh Automata

Letter Parikh Automata

Bounded languages of PA

$BSL \subseteq \mathbb{N}\text{-DetAPA}$ with some property X

$\mathbb{N}\text{-DetAPA}$ with this property $\subseteq \text{DetPA}$

Corollaries

Corollaries and Further Work

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

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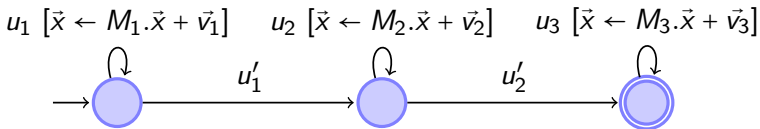
$$\{\vec{i} \mid \vec{w}^{\vec{i}} \in L\}$$

BSL

Bounded \wedge SL
iter. set

N-DetAPA with the property $X \subseteq \text{DetPA}$

- ▶ We can assume the DetAPA, of language L , is of the form:



SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

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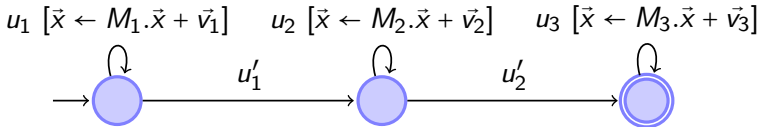
Iter. set

$$\{\vec{i} \mid \vec{w}^{\vec{i}} \in L\}$$

BSL

Bounded \wedge SL
iter. set

- ▶ We can assume the DetAPA, of language L , is of the form:



- ▶ Property X: For all i , there are p_i, k_i s.t. $M_i^{p_i} = M_i^{p_i+k_i}$

SL set

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LPA

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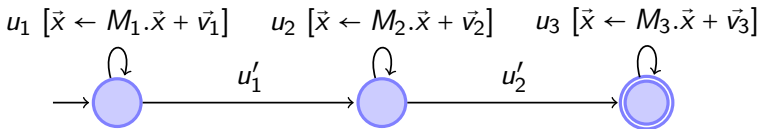
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BSL

Bounded \wedge SL
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N-DetAPA with the property $X \subseteq \text{DetPA}$

- ▶ We can assume the DetAPA, of language L , is of the form:



- ▶ Property X: For all i , there are p_i, k_i s.t. $M_i^{p_i} = M_i^{p_i+k_i}$
- ▶ For any $\vec{a} \in \{p_i, \dots, p_i + k_i\}_{\{1,2,3\}}$, we give a DetPA for $L \cap (u_1^{a_1})(u_1^{k_1})^* \cdot u'_1 \cdot (u_2^{a_2})(u_2^{k_2})^* \cdot u'_2 \cdot (u_3^{a_3})(u_3^{k_3})^*$

SL set

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Bounded

$$L \subseteq w_1^* \dots w_n^*$$

Iter. set

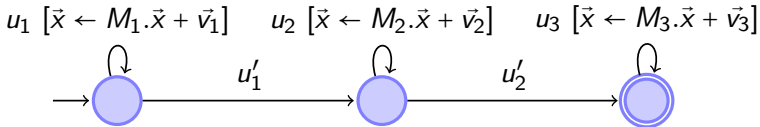
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BSL

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N-DetAPA with the property $X \subseteq \text{DetPA}$

- ▶ We can assume the DetAPA, of language L , is of the form:



- ▶ Property X: For all i , there are p_i, k_i s.t. $M_i^{p_i} = M_i^{p_i+k_i}$
- ▶ For any $\vec{a} \in \{p_i, \dots, p_i + k_i\}_{\{1,2,3\}}$, we give a DetPA for $L \cap (u_1^{a_1})(u_1^{k_1})^* \cdot u'_1 \cdot (u_2^{a_2})(u_2^{k_2})^* \cdot u'_2 \cdot (u_3^{a_3})(u_3^{k_3})^*$
- ▶ Construction main idea: suppose a word contains t times $u_2^{k_2}$. Final value of \vec{x} contains:

SL set

$$\vec{c}_0 + \sum_i \vec{c}_i \cdot k_i$$

(Det)PA

automaton
constraining
#transitions

RBCM

Rev. bounded
0-test counters

(Det)APA

PA with func
 $a [\vec{x} \leftarrow M \cdot \vec{x} + \vec{v}]$



LPA

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#letters

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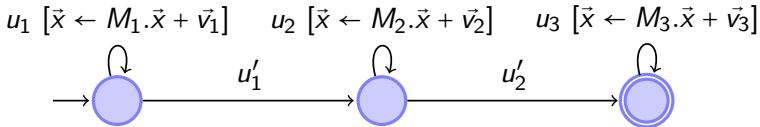
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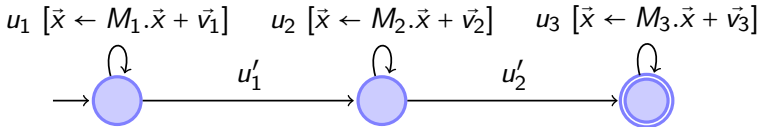
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LPA

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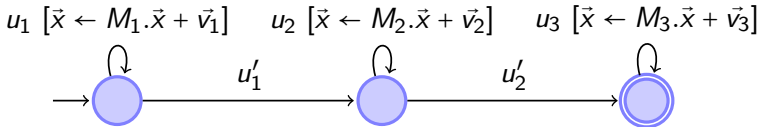
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Cadilhac, Finkel
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Parikh Automata

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Bounded languages of PA

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Corollaries

Corollaries and Further Work

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What implications to algebraic/logic characterizations?

Cadilhac, Finkel
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